

## METHOD AND APPARATUS FOR LOW-COMPLEXITY SPATIAL SCALABLE DECODING

### CROSS-REFERENCE TO RELATED APPLICATION

5 This application claims the benefit of U.S. Provisional Application Serial No. 60/479,734 (Attorney Docket No. PU030166), filed June 19, 2003 and entitled "METHOD AND APPARATUS FOR LOW COMPLEXITY SPATIAL SCALABLE ENCODING AND DECODING", which is incorporated herein by reference in its entirety.

### FIELD OF THE INVENTION

10 The present invention is directed towards video coders and decoders (CODECs), and more particularly, towards an apparatus and method for spatial scalable encoding and decoding.

### BACKGROUND OF THE INVENTION

15 Broadcast video service providers currently use MPEG-2 to transmit standard definition ("SD") video programs. In the future, a transition to high definition ("HD") using the JVT/H.264/MPEG AVC ("JVT") standard is anticipated. Simulcasting of both an MPEG-2 SD program and a JVT HD version of the same program requires more bandwidth than if a scalable approach were used. However, scalable encoders and decoders are significantly more computationally complex than are non-scalable encoders and decoders.

20 Many different methods of scalability have been widely studied and standardized in the scalability profiles of the MPEG-2 and MPEG-4 standards, including SNR scalability, spatial scalability, temporal scalability, and fine grain scalability. Scalable coding has not been widely adopted in practice, however, because of the considerable increase in complexity for implementing scalable encoders and decoders.

25 Spatial scalable encoders and decoders typically require that the high-resolution scalable encoder/decoder provide functionality in addition to what would be present in a non-scalable high-resolution encoder/decoder. In an MPEG-2 spatial scalable encoder, a decision is made whether prediction is performed from a

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standard-resolution or a high-resolution reference picture. An MPEG-2 spatial scalable decoder is capable of predicting from either the standard-resolution picture or the high-resolution picture. Two sets of reference picture stores are used by an MPEG-2 spatial scalable encoder/decoder, one for standard-resolution pictures and  
5 another for high-resolution pictures.

Accordingly, what is needed is a reduced-complexity spatial scalable encoder/decoder capable of supporting both SD and HD versions of the same program over limited-bandwidth connections.

## 10 SUMMARY OF THE INVENTION

These and other drawbacks and disadvantages of the prior art are addressed by an apparatus and method for low-complexity spatial scalable decoding.

The decoder, for receiving compressed high-resolution scalable and standard-resolution bitstreams and providing high-resolution video, includes an I-picture  
15 detector (464) for receiving the compressed standard-resolution bitstream, a standard-resolution Intra decoder (466) coupled with the I-picture detector for decoding I-pictures, a high-resolution video decoder (482) for receiving the compressed high-resolution scalable bitstream, and a selector (486) coupled with the standard-resolution Intra video decoder and the high-resolution video decoder for  
20 selecting between the outputs from the standard-resolution Intra video decoder and the high-resolution video decoder to provide the high-resolution video sequence.

These and other aspects, features and advantages of the present invention will become apparent from the following description of exemplary embodiments, which is to be read in conjunction with the accompanying drawings.  
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## BRIEF DESCRIPTION OF THE DRAWINGS

The present invention may be better understood in accordance with the following exemplary figures, in which:

Figure 1 shows a block diagram for a relatively high-complexity spatial  
30 scalable encoder;

Figure 2 shows a block diagram for a relatively high-complexity spatial scalable decoder;

Figure 3 shows a block diagram for a low-complexity spatial scalable encoder in accordance with principles of the present invention; and

Figure 4 shows a block diagram for a low-complexity spatial scalable decoder in accordance with principles of the present invention.

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#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Embodiments of the presently disclosed invention provide a method and apparatus for low-complexity, generally low-cost, spatial scalable encoding and decoding. In the description that follows, an encoder and decoder may be collectively referred to as a CODEC for purposes of simplicity, although method and apparatus embodiments may be capable of only encoding, only decoding, or both encoding and decoding.

In accordance with the principles of the invention, a low-complexity spatial scalable CODEC utilizes non-scalable encoder and/or decoder blocks. The term “normal” may be used herein and/or in the drawings to refer to generally non-scalable as opposed to specifically scalable elements and/or features of higher complexity, and shall specifically not imply that the element and/or feature is necessarily conventional.

In the instant embodiment of the present invention, Intra-coded (I) pictures are scalably coded using a spatial scalability technique, while non-intra coded (P and B) pictures are encoded non-scalably. The high-resolution input image is down-sampled to form a standard-resolution image, and the standard-resolution image is encoded and decoded using a non-scalable encoder/decoder. The decoded image is up-sampled, and then subtracted from the input high-resolution image. The difference between the high-resolution image and the up-sampled standard-resolution image is then encoded using a non-scalable encoder. At the decoder end, only I-coded standard-resolution pictures are decoded using a non-scalable decoder, then they are up-sampled and added to the decoded high-resolution difference signal, to form the high-resolution output pictures. Non I-coded high-resolution pictures are decoded non-scalably.

Thus, in the instant embodiment of the present invention, spatial scalable encoding/decoding is performed only for Intra-coded pictures or slices, and non-scalable encoding/decoding for non-intra coded pictures or slices. Scalable encoding

provides a significant coding efficiency advantage as compared to simulcast for intra-coded (I) pictures, but less of an advantage for inter-coded (B and P) pictures. The complexity of a spatial scalable encoder and decoder can be considerably reduced by using scalability techniques only in intra-coded pictures, while retaining much of the coding efficiency advantages.

In accordance with the principles of the present invention, scalability-capable video encoder and decoder modules are not required. Instead non-scalable high-resolution encoders and decoders can be used in this system, in conjunction with additional functional blocks. The standard resolution and high-resolution encoders and decoders may comply with any video compression standard, such as MPEG-2, MPEG-4, or H.264. For example, the standard-resolution encoder and decoder may be standards-compliant MPEG-2 Main Profile, and the high-resolution encoder and decoder may be standards-compliant H.264 encoders and decoders. Other combinations may also be considered, as would be apparent to those skilled in the art.

The present description illustrates the principles of the invention. It will thus be appreciated that those skilled in the art will be able to devise various arrangements that, although not explicitly described or shown herein, embody the principles of the invention and are included within its spirit and scope.

All examples and conditional language recited herein are intended for pedagogical purposes to aid the reader in understanding the principles of the invention and the concepts contributed by the inventor to furthering the art, and are to be construed as being without limitation to such specifically recited examples and conditions.

Moreover, all statements herein reciting principles, aspects, and embodiments of the invention, as well as specific examples thereof, are intended to encompass both structural and functional equivalents thereof. Additionally, it is intended that such equivalents include both currently known equivalents as well as equivalents developed in the future, i.e., any elements developed that perform the same function, regardless of structure.

Thus, for example, it will be appreciated by those skilled in the art that the block diagrams presented herein represent conceptual views of illustrative circuitry embodying the principles of the invention. Similarly, it will be appreciated that any

flow charts, flow diagrams, state transition diagrams, pseudocode, and the like represent various processes which may be substantially represented in computer readable media and so executed by a computer or processor, whether or not such computer or processor is explicitly shown.

5       The functions of the various elements shown in the figures may be provided through the use of dedicated hardware as well as hardware capable of executing software in association with appropriate software. When provided by a processor, the functions may be provided by a single dedicated processor, by a single shared processor, or by a plurality of individual processors, some of which may be shared.  
10       Moreover, explicit use of the term "processor" or "controller" should not be construed to refer exclusively to hardware capable of executing software, and may implicitly include, without limitation, digital signal processor ("DSP") hardware, read-only memory ("ROM") for storing software, random access memory ("RAM"), and non-volatile storage.

15       Other hardware, conventional and/or custom, may also be included. Similarly, any switches shown in the figures are conceptual only. Their function may be carried out through the operation of program logic, through dedicated logic, through the interaction of program control and dedicated logic, or even manually, the particular technique being selectable by the implementer as more specifically understood from  
20       the context.

      In the claims hereof, any element expressed as a means for performing a specified function is intended to encompass any way of performing that function including, for example, a) a combination of circuit elements that performs that function or b) software in any form, including, therefore, firmware, microcode or the  
25       like, combined with appropriate circuitry for executing that software to perform the function. The invention as defined by such claims resides in the fact that the functionalities provided by the various recited means are combined and brought together in the manner which the claims call for. Applicant thus regards any means that can provide those functionalities as equivalent to those shown herein.

30       As shown in Figure 1, a standard-complexity spatial scalable encoder supporting two layers is indicated generally by the reference numeral 100. The encoder 100 includes a downsampler 110 for receiving a high-resolution input video sequence. The downsampler 110 is coupled in signal communication with a

standard-resolution non-scalable encoder 112, which, in turn, is coupled in signal communication with standard-resolution frame stores 114. The standard-resolution non-scalable encoder 112 outputs a standard-resolution bitstream, and is further coupled in signal communication with a standard-resolution non-scalable decoder 120.

The standard-resolution non-scalable decoder 120 is coupled in signal communication with an upsampler 130, which, in turn, is coupled in signal communication with a scalable high-resolution encoder 140. The scalable high-resolution encoder 140 also receives the high-resolution input video sequence, is coupled in signal communication with high-resolution frame stores 150, and outputs a high-resolution scalable bitstream.

Thus, a high resolution input video sequence is received by the standard-complexity encoder 100 and down-sampled to create a standard-resolution video sequence. The standard-resolution video sequence is encoded using a non-scalable standard-resolution video compression encoder, creating a standard-resolution bitstream. The standard-resolution bitstream is decoded using a non-scalable standard-resolution video compression decoder. (This function may be performed inside of the encoder.) The decoded standard-resolution sequence is up-sampled, and provided as one of two inputs to a scalable high-resolution encoder. The scalable high-resolution encoder encodes the video to create a high-resolution scalable bitstream.

Turning to Figure 2, a standard-complexity spatial scalable decoder supporting two layers is indicated generally by the reference numeral 200. The spatial scalable decoder 200 includes a standard-resolution decoder 260 for receiving a standard-resolution bitstream, which is coupled in signal communication with standard-resolution frame stores 262, and outputs a standard-resolution video sequence. The standard-resolution decoder 260 is further coupled in signal communication with an upsampler 270, which, in turn, is coupled in signal communication with a scalable high-resolution decoder 280.

The scalable high-resolution decoder 280 is further coupled in signal communication with high-resolution frame stores 290. The scalable high-resolution decoder 280 receives a high-resolution scalable bitstream and outputs a high-resolution video sequence.

Thus, both a high-resolution scalable bitstream and standard-resolution bitstream are received by the standard-complexity decoder 200. The standard-resolution bitstream is decoded using a non-scalable standard-resolution video compression decoder, which utilizes standard-resolution frame stores. The decoded standard-resolution video is up-sampled, and then input into a high-resolution scalable decoder. The high-resolution scalable decoder utilizes a set of high-resolution frame stores, and creates the high-resolution output video sequence.

As shown in Figure 3, a low-complexity spatial scalable encoder supporting two layers is indicated generally by the reference numeral 300. The encoder 300 includes a downsampler 310 for receiving a high-resolution input video sequence. The downsampler 310 is coupled in signal communication with a standard-resolution non-scalable encoder 312, which, in turn, is coupled in signal communication with standard-resolution frame stores 314. The standard-resolution non-scalable encoder 312 outputs a standard-resolution bitstream, and is further coupled in signal communication with a standard-resolution non-scalable Intra decoder 322.

The non-scalable standard-resolution Intra decoder 322 is coupled in signal communication with an upsampler 330, which, in turn, is coupled in signal communication with each of an inverting input of a first summing unit 342 and a non-inverting input of a second summing unit 344. The first summing unit 342 has a non-inverting input for receiving the high-resolution input video sequence, and has an output coupled in signal communication with a selector 346. The selector 346 also has an input for receiving the high-resolution input video sequence, as well as a third input for receiving an I-slice/I-picture indicator from the standard-resolution non-scalable encoder 312. The selector 346 is coupled in signal communication with a non-scalable high-resolution encoder 348. The non-scalable high-resolution encoder 348 is for outputting a high-resolution scalable bitstream, and is coupled in signal communication with a non-inverting input of the summing unit 344. The non-scalable high-resolution encoder 348 is further coupled in signal communication with frame stores 350. The frame stores 350 are coupled in signal communication with an output of the summing unit 344.

Thus, the low-complexity spatial scalable encoder embodiment 300 receives a high-resolution input video sequence. The sequence is down-sampled to create a standard-resolution video sequence. The standard-resolution video sequence is

encoded using a non-scalable standard-resolution encoder, creating a standard-resolution bitstream. The Intra-coded (I) pictures are decoded using a non-scalable standard-resolution decoder. Alternatively, this function may be performed as an ancillary function within the encoder itself. The decoded standard-resolution I pictures are up-sampled, and subtracted from the input video pictures. An offset (for example -128), may optionally be added to the difference, to maintain pixel values in the range of [0, 255]. These difference pictures are then input to a non-scalable high-resolution video compression encoder. The up-sampled standard-resolution decoded I pictures are added to the high-resolution encoded difference signal, with optional offset, before storage in the high-resolution frame stores. This allows a correct reference picture to be used in subsequent non-scalable coding of P and B pictures. For the non-I pictures (P and B), the input video sequence pictures are input to the non-scalable high-resolution video encoder, and encoded non-scalably.

Turning to Figure 4, a low-complexity spatial scalable decoder supporting two layers is indicated generally by the reference numeral 400. The low-complexity spatial scalable decoder 400 includes an I-picture detector/selector 464 for receiving a standard-resolution bitstream, which is coupled in signal communication with a standard-resolution Intra decoder 466. The standard-resolution Intra decoder 466 is coupled in signal communication with an upsampler 470, which, in turn, is coupled in signal communication with a first non-inverting input of a summing unit 484. The standard-resolution Intra decoder 466 is further coupled in signal communication with a first input of a selector 486 for providing an intra-coding indicator to the selector 486.

The low-complexity spatial scalable decoder 400 further includes a non-scalable high-resolution decoder 482 for receiving a high-resolution scalable bitstream. The high-resolution decoder 482 is coupled in signal communication with each of a second non-inverting input of the summing unit 484, a second input of the selector 486, and high-resolution frame stores 490. The summing unit 484 has an output coupled in signal communication with a third input of the selector 486. The selector 486 outputs a high-resolution video sequence, and is coupled in signal communication with the high-resolution frame stores 490.

Thus, the low-complexity spatial scalable decoder embodiment 400 includes an I-picture selector/detector that searches the received standard-resolution



bitstream and removes all non-I picture coded data. It may identify I-picture data by searching for picture start codes in the bitstream, and decoding the picture coding type from the picture header. A non-scalable standard resolution Intra decoder then decodes the I-picture data. An Intra only decoder such as this is of considerably  
5 lower complexity than a full video compression decoder, and does not require standard-resolution reference frame stores. The decoded standard-resolution Intra pictures are up-sampled.

The high-resolution scalable bitstream is input to a non-scalable high-resolution decoder. For non-I pictures, its output is selected as the output high-resolution video sequence. For I pictures, the high-resolution decoded output is  
10 added to the up-sampled standard resolution decoded I pictures, which is selected to form the output high-resolution video sequence. For scalable I pictures, the output high-resolution video picture is stored in the reference frame store, rather than the output of the non-scalable high-resolution decoder.

15 While the non-scalable high resolution decoder and standard-resolution intra decoder are shown as separate boxes in the block diagram, a single multifunction decoder could be used to perform both functions. Because intra decoding is generally much less complex than inter decoding, if a general purpose processor is used, it may be utilized to perform both the standard resolution intra picture decode  
20 and high resolution intra picture decode during the same time period as would be required to perform a high resolution inter picture decode.

In the H.264 video coding standards, individual slices in the same picture may be coded using different prediction types. For example, a picture may contain both an I slice and a P slice. If H.264 is used for both the high resolution and standard  
25 resolution encoding in this invention, scalability may be performed on I slices rather than I pictures, with the requirement that the macroblocks corresponding to the I slices of the up-sampled standard resolution picture are also coded as I slices. The I-picture detector/selector would become an I-slice detector/selector, in this embodiment.

30 If MPEG-2, or another coding standard which requires that all slices in the same picture be coded using the same prediction type, is used in the standard resolution layer, and H.264 is used in the high resolution layer, the selection of whether or not scalability is applied is dependent on the picture coding type used in

the standard resolution layer. I-slices may be coded in the high resolution H.264 layer even if the corresponding MPEG-2 standard-resolution layer is not an I-picture, but scalability is not applied.

5 Various methods can be used for the upsampler and downsampler functions, including bi-linear interpolation, or multi-tap interpolation and decimation filters, as are well known to those skilled in the art.

The high resolution video sequence pictures may contain data not represented by the standard resolution video sequence pictures, for example if the high resolution pictures have a 16:9 aspect ratio and the standard resolution pictures have a 4:3 aspect ratio. In that case, the up-sampling function can set to a value of zero for those pixels that do not correspond to pixels present in the standard-resolution picture.

10 These and other features and advantages of the present invention may be readily ascertained by one of ordinary skill in the pertinent art based on the teachings herein. It is to be understood that the principles of the present invention may be implemented in various forms of hardware, software, firmware, special purpose processors, or combinations thereof.

Most preferably, the principles of the present invention are implemented as a combination of hardware and software. Moreover, the software is preferably implemented as an application program tangibly embodied on a program storage unit. The application program may be uploaded to, and executed by, a machine comprising any suitable architecture. Preferably, the machine is implemented on a computer platform having hardware such as one or more central processing units ("CPU"), a random access memory ("RAM"), and input/output ("I/O") interfaces. The computer platform may also include an operating system and microinstruction code. The various processes and functions described herein may be either part of the microinstruction code or part of the application program, or any combination thereof, which may be executed by a CPU. In addition, various other peripheral units may be connected to the computer platform such as an additional data storage unit and a printing unit.

30 It is to be further understood that, because some of the constituent system components and methods depicted in the accompanying drawings are preferably implemented in software, the actual connections between the system components or

the process function blocks may differ depending upon the manner in which the present invention is programmed. Given the teachings herein, one of ordinary skill in the pertinent art will be able to contemplate these and similar implementations or configurations of the present invention.

5           Although the illustrative embodiments have been described herein with reference to the accompanying drawings, it is to be understood that the present invention is not limited to those precise embodiments, and that various changes and modifications may be effected therein by one of ordinary skill in the pertinent art without departing from the scope or spirit of the present invention. All such changes  
10       and modifications are intended to be included within the scope of the present invention as set forth in the appended claims.